

# CONTENTS

<b>PREFACE</b>	<b>xix</b>
<b>ACKNOWLEDGMENTS</b>	<b>xxv</b>
<b>AUTHORS</b>	<b>xxxix</b>

## SECTION 1: PERSPECTIVES 1

### **Chapter 1** INTRODUCTION 3

1.0	Nanoscience and Nanotechnology— The Distinction	5
1.0.1	<i>Requisite Definitions</i>	6
1.0.2	<i>Government Line</i>	8
1.0.3	<i>Working Definitions</i>	9
1.1	Historical Perspectives	13
1.1.1	<i>Concept of Atomism</i>	13
1.1.2	<i>Colored Glasses</i>	14
1.1.3	<i>Photography</i>	19
1.1.4	<i>Catalysis</i>	19
1.1.5	<i>Integrated Circuits and Chips</i>	21
1.1.6	<i>Microelectromechanical Systems</i>	23
1.2	Advanced Materials	23
1.2.1	<i>Thin Films</i>	25
1.2.2	<i>Fullerenes and Carbon Nanotubes</i>	30
1.2.3	<i>Quantum Dots</i>	31
1.2.4	<i>Other Advanced Materials</i>	32
1.3	Tools of Nano	33
1.3.1	<i>Electron Microscopy</i>	35
1.3.2	<i>Atomic Probe Microscopes</i>	36
1.3.3	<i>X-Ray Spectroscopy</i>	36
1.3.4	<i>Surface Enhanced Raman Spectroscopy</i>	38
1.3.5	<i>Lithography</i>	38
1.3.6	<i>Computer Modeling and Simulation</i>	39
1.3.7	<i>Molecular Electronics</i>	40

1.4	Nature's Take on Nano and the Advent of Molecular Biology	40
1.4.1	<i>Macroscopic Expressions of Natural Nanomaterials</i>	40
1.4.2	<i>Cell Biology</i>	41
1.4.3	<i>Molecular Biology and Genetics</i>	42
1.5	The Nano Perspective	44
1.5.1	<i>Integration of Everything</i>	44
1.5.2	<i>Scale of Things and Timescales</i>	48
1.5.3	<i>Grand Challenges Facing Nanoscience and Nanotechnology</i>	48
1.5.4	<i>Next Industrial Revolution</i>	50
1.6	Concluding Remarks	52
	Acknowledgments	52
	References	53
	Problems	56

## Chapter 2 SOCIETAL IMPLICATIONS OF NANO 59

2.0	Introduction to Societal Issues	61
2.0.1	<i>Societal Implications—The Background</i>	61
2.0.2	<i>Breadth of Societal Implications</i>	63
2.0.3	<i>Meet the Experts</i>	65
2.0.4	<i>The Nano Perspective</i>	68
2.1	Ethical Implications	70
2.1.1	<i>Ethics in the Context of Research and Applied Science</i>	71
2.1.2	<i>Principle of Respect for Communities</i>	72
2.1.3	<i>Principle of the Common Good</i>	73
2.1.4	<i>Principle of Social Justice</i>	75
2.1.5	<i>You as Moral Agent</i>	76
2.2	Legal Implications	76
2.2.1	<i>Interaction of Law and Nanoscience</i>	77
2.2.2	<i>Intellectual Property</i>	77
2.2.3	<i>Civil Liability Issues</i>	80
2.2.4	<i>Evaluation</i>	83
2.3	Environmental Implications	83
2.3.1	<i>Nanotoxicology</i>	85
2.3.2	<i>Nanotechnology Risk Assessment</i>	87
2.3.3	<i>Environmental Aspects of Nanotechnology</i>	89
2.4	Public Perception	90
2.4.1	<i>Factors Influencing Public Perception</i>	90
2.4.2	<i>Nano and Public Opinion Polls</i>	91
2.4.3	<i>A Call for Two-Way Communication</i>	93

2.5	Future of Nanotechnology	93
2.5.1	<i>Cycles and Patterns</i>	93
2.5.2	<i>Trend Forecasting</i>	94
2.5.3	<i>Attractionary Futuristics</i>	94
2.5.4	<i>Maximum Freud</i>	95
2.5.5	<i>Nanotechnology End Points</i>	97
	Acknowledgment	98
	References	99
	Problems	101
	<i>Introduction to Societal Issues</i>	101
	<i>Ethical Implications</i>	101
	<i>Legal Implications</i>	101
	<i>Environmental Implications</i>	102
	<i>Public Perception</i>	103
	<i>Future of Nanotechnology</i>	104
	<i>Ancillary Problems</i>	104

## SECTION 2: NANOTOOLS 105

### Chapter 3 CHARACTERIZATION METHODS 107

3.0	Characterization of Nanomaterials	108
3.0.1	<i>Background</i>	109
3.0.2	<i>Types of Characterization Methods</i>	113
3.0.3	<i>Optics and Resolution</i>	116
3.0.4	<i>The Nano Perspective</i>	122
3.1	Electron Probe Methods	123
3.1.1	<i>Electron Interactions with Matter</i>	125
3.1.2	<i>Scanning Electron Microscopy and Electron Probe Microanalysis</i>	131
3.1.3	<i>Transmission Electron Microscopy</i>	135
3.1.4	<i>Other Important Electron Probe Methods</i>	140
3.2	Scanning Probe Microscopy Methods	142
3.2.1	<i>Atomic Force Microscopy</i>	144
3.2.2	<i>Scanning Tunneling Microscopy</i>	148
3.2.3	<i>Other Important Scanning Probe Methods</i>	152
3.2.4	<i>Atom-Probe Methods</i>	153
3.3	Spectroscopic Methods	154
3.3.1	<i>UV-Visible Absorption and Emission Spectroscopy</i>	155
3.3.2	<i>Infrared and Raman Spectroscopy</i>	157
3.3.3	<i>X-Ray Methods</i>	158
3.4	Nonradiative and Nonelectron Characterization Methods	159
3.4.1	<i>Particle Spectroscopy</i>	159
3.4.2	<i>Thermodynamic Methods</i>	161

3.4.3	<i>Particle Size Determination</i>	161
3.4.4	<i>Surface Area and Porosity</i>	162
3.4.5	<i>Other Important Characterization Methods</i>	167
	References	171
	Problems	173

## **Chapter 4**    **FABRICATION METHODS**    177

4.0	Fabrication of Nanomaterials	178
4.0.1	<i>Background</i>	178
4.0.2	<i>Types of Top-Down Fabrication Methods</i>	180
4.0.3	<i>Types of Bottom-Up Fabrication Methods</i>	185
4.0.4	<i>Nebulous Bottom-Up Fabrication Categories</i>	191
4.0.5	<i>The Nano Perspective</i>	192
4.1	Top-Down Fabrication	193
4.1.1	<i>Mechanical Methods (Mechanosynthesis)</i>	194
4.1.2	<i>Thermal Methods</i>	196
4.1.3	<i>High-Energy Methods</i>	197
4.1.4	<i>Chemical Fabrication Methods</i>	200
4.1.5	<i>Lithographic Methods</i>	203
4.2	Bottom-Up Fabrication	210
4.2.1	<i>Gaseous-Phase Methods</i>	210
4.2.2	<i>Liquid-Phase Methods</i>	217
4.2.3	<i>Solid-Phase Bottom-Up Fabrication?</i>	223
4.2.4	<i>Template Synthesis</i>	224
4.3	Computational Chemistry and Molecular Modeling	225
4.3.1	<i>History</i>	226
4.3.2	<i>General Types of Molecular Modeling Methods</i>	227
	References	231
	Problems	235

## **SECTION 3: PHYSICS: PROPERTIES AND PHENOMENA**    237

### **Chapter 5**    **MATERIALS, STRUCTURE, AND THE NANOSURFACE**    239

5.0	Importance of the Surface	240
5.0.1	<i>Background</i>	240
5.0.2	<i>Natural Perspective</i>	241
5.0.3	<i>Inorganic Perspective</i>	241
5.0.4	<i>The Nano Perspective</i>	243
5.1	Engineering Materials	243
5.1.1	<i>Metals and Alloys</i>	245
5.1.2	<i>Semiconductors</i>	246

5.1.3	<i>Ceramic and Glassy Materials</i>	247
5.1.4	<i>Carbon-Based Materials</i>	247
5.1.5	<i>Polymers</i>	247
5.1.6	<i>Biological Materials</i>	249
5.1.7	<i>Composites</i>	249
5.2	<i>Particle Shape and the Surface</i>	250
5.2.1	<i>Exterior Surface and Particle Shape</i>	251
5.2.2	<i>Interior Nanoscale Surface Area</i>	255
5.3	<i>Surface and Volume</i>	257
5.3.1	<i>Geometric Surface-to-Volume Ratio</i>	258
5.3.2	<i>Specific Surface Area</i>	258
5.3.3	<i>Spherical Cluster Approximation</i>	260
5.4	<i>Atomic Structure</i>	261
5.4.1	<i>Crystal Systems and the Unit Cell</i>	261
5.4.2	<i>Cubic and Hexagonal Systems</i>	264
5.4.3	<i>Packing Fraction and Density</i>	267
5.4.4	<i>Structural Magic Numbers</i>	268
5.4.5	<i>Miller Indices and X-Ray Diffraction</i>	271
5.5	<i>Particle Orientation</i>	274
5.5.1	<i>Surface Polarization in Metals</i>	275
5.5.2	<i>Particle Depolarization Factor and Screening Parameters</i>	278
5.5.3	<i>Quasi-Static Limit</i>	280
5.5.4	<i>Orientation of Nanometals in Transparent Media</i>	281
	References	284
	Problems	285

## Chapter 6 ENERGY AT THE NANOSCALE 289

6.0	<i>Surface Energy</i>	290
6.0.1	<i>Background</i>	292
6.0.2	<i>Nature</i>	293
6.0.3	<i>Introduction to Surface Stabilization</i>	295
6.0.4	<i>The Nano Perspective</i>	296
6.1	<i>Basic Thermodynamics</i>	297
6.1.1	<i>Derivation of Surface Tension, <math>\gamma</math></i>	297
6.1.2	<i>Surface Excess</i>	298
6.1.3	<i>Kelvin Equation</i>	299
6.1.4	<i>Particle Curvature and the Young–Laplace Equation</i>	300
6.1.5	<i>Chemical Potential</i>	302
6.2	<i>Liquid State</i>	302
6.2.1	<i>Classical Surface Tension</i>	305
6.2.2	<i>Capillarity</i>	307
6.2.3	<i>Surface Tension Measurements</i>	308

6.3	Surface Energy (and Stress) of Solids	311
6.3.1	<i>Interaction Pair Potentials</i>	313
6.3.2	<i>Surface Energy of Low-Index Crystals</i>	313
6.3.3	<i>Surface Energy of Nanoparticles</i>	316
6.4	Surface Energy Minimization Mechanisms	317
6.4.1	<i>Surface Tension Reduction in Liquids</i>	317
6.4.2	<i>DLVO Theory</i>	318
6.4.3	<i>Polymeric (Steric) Stabilization</i>	321
6.4.4	<i>Nucleation</i>	321
6.4.5	<i>Ostwald Ripening</i>	322
6.4.6	<i>Sintering</i>	325
6.4.7	<i>Structural Stabilization in Solids</i>	326
	References	332
	Problems	333

## Chapter 7 THE MATERIAL CONTINUUM 335

7.0	Material Continuum	336
7.0.1	<i>Material Properties and Phenomena</i>	337
7.0.2	<i>Background</i>	339
7.0.3	<i>Nano (Quantum) Perspective</i>	341
7.1	Basic Quantum Mechanics and the Solid State	342
7.1.1	<i>Ubiquitous Particle in a Box</i>	343
7.1.2	<i>Two-Dimensional Quantum Systems</i>	348
7.1.3	<i>Schrödinger Equation</i>	350
7.1.4	<i>Bohr Exciton Radius</i>	352
7.1.5	<i>Bandgaps</i>	354
7.2	Zero-Dimensional Materials	357
7.2.1	<i>Clusters</i>	357
7.2.2	<i>Metal Clusters and the HOCO–LUCO</i>	360
7.2.3	<i>Optical Properties of Clusters</i>	360
7.2.4	<i>Other Physical Properties and Phenomena</i>	362
7.3	One-Dimensional Materials	367
7.3.1	<i>Types of Nanowires</i>	369
7.3.2	<i>Physical Properties and Phenomena</i>	369
7.4	Two-Dimensional Materials	370
7.4.1	<i>Types of Thin Films</i>	371
7.4.2	<i>Physical Properties</i>	371
7.5	Hierarchical Structures	372
7.5.1	<i>Importance of Hierarchical Materials</i>	372
7.6	Quantum Size Effects and Scaling Laws	373
7.6.1	<i>Scaling Laws</i>	374
7.6.2	<i>Classical Scaling Laws and the Nanoscale</i>	375
7.6.3	<i>Scaling Laws for Clusters</i>	376
	References	378
	Problems	380

**Chapter 8 NANOTHERMODYNAMICS 383**

- 8.0 Thermodynamics and Nanothermodynamics 384
  - 8.0.1 *Background* 384
  - 8.0.2 *The Nano Perspective* 386
- 8.1 Classical Equilibrium Thermodynamics 387
  - 8.1.1 *Extensive and Intensive Properties and State Functions* 387
  - 8.1.2 *The System, Its Surroundings, and Equilibrium* 390
  - 8.1.3 *Laws of Thermodynamics* 391
  - 8.1.4 *Fundamental Equations of Thermodynamics* 395
  - 8.1.5 *Equilibrium Constant and Reaction Kinetics* 397
- 8.2 Statistical Mechanics 398
  - 8.2.1 *Microstates and Macrostates* 398
  - 8.2.2 *Canonical Ensembles* 399
  - 8.2.3 *Energy (Molecular) Partition Functions* 402
- 8.3 Other Kinds of Thermodynamics 402
  - 8.3.1 *The Onsager Relations* 403
  - 8.3.2 *Nonequilibrium Thermodynamics* 404
  - 8.3.3 *The Concept of Pseudoequilibrium* 406
  - 8.3.4 *Cellular and Subcellular Systems* 407
- 8.4 Nanothermodynamics 410
  - 8.4.1 *Background* 413
  - 8.4.2 *Application of Classical Thermodynamics to Nanomaterials* 415
  - 8.4.3 *Small System Thermodynamics (the Theory of T. L. Hill)* 421
- 8.5 Modern Nanothermodynamics 424
  - 8.5.1 *Nonextensivity and Nonintensity* 424
  - 8.5.2 *Nanothermodynamics of a Single Molecule* 427
  - 8.5.3 *Modeling Nanomaterials* 427
  - 8.5.4 *Modern Non-nanothermodynamics?* 429
- References 430
- Problems 433

**SECTION 4: CHEMISTRY: SYNTHESIS AND MODIFICATION 435****Chapter 9 CARBON-BASED NANOMATERIALS 437**

- 9.0 Carbon 438
  - 9.0.1 *Types of Carbon Materials* 439
  - 9.0.2 *Bonding in Carbon Compounds* 442
  - 9.0.3 *The Nano Perspective* 443
- 9.1 Fullerenes 445
  - 9.1.1 *Fullerene Properties* 446
  - 9.1.2 *Fullerene Synthesis* 447
  - 9.1.3 *Physical and Chemical Reactions of Fullerenes* 448

9.2	Carbon Nanotubes	453
9.2.1	Structure of Single-Walled Carbon Nanotubes	454
9.2.2	Physical Properties of Single-Walled Carbon Nanotubes	458
9.2.3	Synthesis of Carbon Nanotubes	464
9.2.4	Growth Mechanisms	467
9.2.5	Chemical Modification of Carbon Nanotubes	469
9.3	Diamondoid Nanomaterials	474
9.3.1	Diamondoids	476
9.3.2	Thin Diamond Films (and Other Ultrahard Substances)	477
9.3.3	Chemical Modification of CVD Diamond	478
	References	480
	Problems	485

## Chapter 10 CHEMICAL INTERACTIONS AT THE NANOSCALE 487

10.0	Bonding Considerations at the Nanoscale	488
10.0.1	Background	490
10.0.2	Intramolecular versus Intermolecular Bonding	492
10.0.3	Types of Intermolecular Bonding	494
10.0.4	The Nano Perspective	496
10.1	Electrostatic Interactions	497
10.1.1	Ion Pair Interactions	500
10.1.2	Solvent Effects	501
10.1.3	Ion–Dipole and Dipole–Dipole Interactions	505
10.1.4	Dative Bonds	509
10.1.5	$\pi$ -Interactions	515
10.2	Hydrogen Bonding	517
10.2.1	Standard Hydrogen Bonds	517
10.2.2	C– $\alpha$ -H $\cdots$ O Hydrogen Bonds	523
10.2.3	Halogen Bonds	523
10.2.4	Hydrogen Bonds and Living Things	524
10.3	Van der Waals Attractions	524
10.3.1	Contributions to the van der Waals Interaction	526
10.3.2	Van der Waals Radius	529
10.3.3	Physical Property Dependence	529
10.4	Hydrophobic Effect	533
10.4.1	Background	533
10.4.2	Water and the Hydrophobic Effect	534
10.4.3	Amino Acids and Proteins	537
	References	537
	Problems	540



<b>Chapter 11</b>	<b>SUPRAMOLECULAR CHEMISTRY</b>	<b>543</b>
11.0	Chemistry of Nanomaterials	545
11.0.1	<i>Background</i>	546
11.0.2	<i>Types of Chemical Synthesis</i>	548
11.0.3	<i>Thermodynamic versus Kinetic Control and Selectivity</i>	550
11.0.4	<i>Introduction to Supramolecular Design</i>	554
11.0.5	<i>The Nano Perspective</i>	555
11.1	Supramolecular Chemistry	557
11.1.1	<i>The Host–Guest Relationship</i>	557
11.1.2	<i>Molecular Recognition</i>	559
11.1.3	<i>Synthetic Supramolecular Host Species</i>	567
11.1.4	<i>Surfactants and Micelles</i>	576
11.1.5	<i>Biological Supramolecular Host Species</i>	578
11.2	Design and Synthesis of Selected Supramolecular Species	581
11.2.1	<i>Thermodynamic and Kinetic Effects</i>	585
11.2.2	<i>Basic Design Parameters: The Host, the Guest, and the Solvent</i>	593
11.3	Extended Supramolecular Structures	595
11.3.1	<i>Golden Molecular Squares</i>	596
11.3.2	<i>Synthesis of Benzocoronene Complexes</i>	599
11.3.3	<i>Helical Supramolecular Polymers</i>	602
	References	603
	Problems	605

<b>Chapter 12</b>	<b>CHEMICAL SYNTHESIS AND MODIFICATION OF NANOMATERIALS</b>	<b>609</b>
12.0	Chemistry and Chemical Modification	610
12.0.1	<i>Types of Synthesis Processes</i>	611
12.0.2	<i>Introduction to Molecular Self-Assembly</i>	611
12.0.3	<i>Introduction to Chemical Functionalization</i>	613
12.0.4	<i>The Nano Perspective</i>	614
12.1	Self-Assembly Revisited	615
12.1.1	<i>Langmuir–Blodgett Films</i>	619
12.1.2	<i>Gold–Thiol Monolayers</i>	626
12.1.3	<i>Organosilanes</i>	629
12.2	Synthesis and Chemical Modification of Nanomaterials	631
12.2.1	<i>Synthesis and Modification of Zero-Dimensional Materials</i>	631
12.2.2	<i>Synthesis and Modification of One-Dimensional Materials</i>	645

12.2.3	<i>Synthesis and Modification of Two-Dimensional Materials</i>	647
12.3	Template Synthesis	651
12.3.1	<i>Macroporous Template Materials</i>	657
12.3.2	<i>Mesoporous Template Materials</i>	658
12.3.3	<i>Microporous Template Materials</i>	668
12.3.4	<i>Other Interesting Template Materials</i>	668
12.4	Polymer Chemistry and Nanocomposites	669
12.4.1	<i>Introduction to Polymer Chemistry</i>	669
12.4.2	<i>Polymer Synthesis</i>	670
12.4.3	<i>Block Copolymers</i>	675
12.4.4	<i>Emulsion Polymerization</i>	676
12.4.5	<i>Nanocomposites</i>	681
	References	683
	Problems	690

## SECTION 5: NATURAL AND BIONANOSCIENCE 693

### Chapter 13 NATURAL NANOMATERIALS 695

13.0	Natural Nanomaterials	696
13.0.1	<i>Nanomaterials All around Us</i>	697
13.0.2	<i>Aesthetic and Practical Value of Natural Nanomaterials</i>	697
13.0.3	<i>Learning from Natural Nanomaterials</i>	697
13.0.4	<i>The Nano Perspective</i>	697
13.1	Inorganic Natural Nanomaterials	698
13.1.1	<i>Minerals</i>	698
13.1.2	<i>Clays</i>	700
13.1.3	<i>Natural Carbon Nanoparticles</i>	703
13.1.4	<i>Nanoparticles from Space</i>	706
13.2	Nanomaterials from the Animal Kingdom	706
13.2.1	<i>Building Blocks of Biomaterials</i>	707
13.2.2	<i>Shells</i>	709
13.2.3	<i>Exoskeletons</i>	711
13.2.4	<i>Endoskeletons</i>	713
13.2.5	<i>Skin and Its Extensions</i>	718
13.2.6	<i>Summary</i>	720
13.3	Nanomaterials Derived from Cell Walls	721
13.3.1	<i>Paper</i>	721
13.3.2	<i>Cotton</i>	722
13.3.3	<i>Bacterial Fibers</i>	723
13.3.4	<i>Diatoms</i>	725
13.3.5	<i>Lotus Flower</i>	726
13.4	Nanomaterials in Insects	728
13.4.1	<i>Chitin</i>	728

13.4.2	<i>Chitin Structures in Insect Wings</i>	729
13.4.3	<i>Butterfly Wings</i>	730
13.4.4	<i>Color and Structure</i>	730
13.5	<b>Gecko Feet: Adhesive Nanostructures</b>	734
13.5.1	<i>Gecko Feet</i>	735
13.5.2	<i>Mechanism of Adhesion</i>	735
13.5.3	<i>Attachment and Release of Grip</i>	736
13.5.4	<i>Self-Cleaning</i>	737
13.6	<b>More Natural Fibers</b>	737
13.6.1	<i>Spider Silk</i>	737
13.6.2	<i>Sponge Fibers</i>	741
13.7	<b>Summary</b>	742
	<b>References</b>	742
	<b>Problems</b>	746

## **Chapter 14** **BIOMOLECULAR NANOSCIENCE** 749

14.0	<b>Introduction to Biomolecular Nanoscience</b>	751
14.0.1	<i>Definitions: Biomolecular Nanoscience</i>	751
14.0.2	<i>Historical Origins</i>	752
14.0.3	<i>Biomolecular Nanoscience: Roots in Traditional Science</i>	752
14.0.4	<i>The Nano Perspective</i>	754
14.1	<b>Material Basis of Life</b>	755
14.1.1	<i>Molecular Building Blocks— From the Bottom Up</i>	755
14.1.2	<i>Cells and Organized Structures</i>	761
14.1.3	<i>Viruses</i>	768
14.1.4	<i>Prions</i>	768
14.1.5	<i>Toxins and Disruptive Nanoparticles</i>	769
14.1.6	<i>Completing the Circle from Top Down to Bottom Up</i>	769
14.2	<b>Cellular Membranes and Signaling Systems</b>	772
14.2.1	<i>Cell Membrane Function</i>	773
14.2.2	<i>Ion Pumps, Ion Channels, and Maintenance of the Cellular Environment</i>	775
14.2.3	<i>Transmission of Neural Impulses: Action Potential and K Channel</i>	775
14.2.4	<i>Synapses and Neurotransmitters</i>	782
14.2.5	<i>Hormones and Regulation of Cell Growth and Metabolism</i>	783
14.3	<b>DNA, RNA, and Protein Synthesis</b>	785
14.3.1	<i>DNA and RNA Function and Structure</i>	785
14.3.2	<i>DNA Replication</i>	787

14.3.3	<i>DNA as a Genetic Information Storage Material</i>	789
14.3.4	<i>RNA and DNA Nanoengines: Viruses and Phages</i>	792
14.3.5	<i>The Role of the Protein Environment</i>	793
14.4	Concluding Remarks	794
14.4.1	<i>Emerging Concepts and Developments</i>	794
	References	794
	Problems	799

**INDEX 801**